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Attorney Docket P1130
PATENT

jc535 U.S. PTO
09/19/97

CERTIFICATION UNDER 37 CFR 1.10

TB 835 479 150 US: Express Mail Number

September 19, 1997: Date of Deposit

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231.

Aida A. Miclat
Aida A. Miclat

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

BOX PATENT APPLICATION
Assistant Commissioner of Patents
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): **Paul J. Godowski**
Austin L. Gurney

For: **TIE LIGANDS**

1. **Type of Application**

This new application is for a(n) x original continuation-in-part application.

2. **Papers Enclosed Which Are Required For Filing Date Under 37 CFR 1.53(b)(Regular)**

89 pages of specification
3 pages of claims
1 page(s) of abstract
25 sheet(s) of drawings
☒ formal ☐ informal

3. **Declaration or Oath**

X The declaration of the inventor(s) ☒ is enclosed ☐ unsigned ☐ will follow.

4. **Assignment**

X An Assignment of the invention to GENENTECH, INC. ☒ is enclosed with attached Recordation Form Cover Sheet ☐ will follow.

5. Fee Calculation (37 CFR 1.16)

The fee has been calculated as follows:

CLAIMS FOR FEE CALCULATION					
Number Filed		Number Extra		Rate	Basic Fee 37 CFR 1.16(a)
					\$770.00
Total Claims	25	- 20 =	5	X \$22.00	\$110.00
Independent Claims	2	- 3 =	0	X \$80.00	\$0.00
<u>x</u> Multiple dependent claim(s), if any				+ \$260.00	\$260.00
Filing Fee Calculation					\$1140.00

6. Method of Payment of Fees

The Commissioner is hereby authorized to charge Deposit Account No. 07-0630 in the amount of \$1,140.00. A duplicate copy of this transmittal is enclosed.

7. Authorization to Charge Additional Fees

The Commissioner is hereby authorized to charge any additional fees required under 37 CFR §1.16 and 1.17, or credit overpayment to Deposit Account No. 07-0630. A duplicate copy of this sheet is enclosed.

8. Additional Papers Enclosed

- ☐ Information Disclosure Statement (37 CFR §1.98) w/ PTO-1449 and citations
☒ Submission of "Sequence Listing", computer readable copy and/or amendment pertaining thereto for biological invention containing nucleotide and/or amino acid sequence.
☐ Other:

Respectfully submitted,
 GENENTECH, INC.

Date: September 19, 1997

By: 

Ginger R. Dreger
 Reg. No. 33,055

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 So. San Francisco, CA 94080-4990
 Phone: (650) 225-3216
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

<p>In re Application of Godowski and Gurney Serial No.: To be Assigned Filed: September 19, 1997 For: TIE LIGANDS</p>	<p>Group Art Unit: Not Known Examiner: Not Known</p> <hr/> <p style="text-align: center;">CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on September 19, 1997 <i>Aida A. Miclat</i> Aida A. Miclat</p>
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12/5/97

CERTIFICATE RE: SEQUENCE LISTING

BOX SEQUENCE

Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

I hereby state that the Sequence Listing submitted herewith is submitted in paper copy and a computer-readable diskette, and that the content of the paper and computer readable copies are the same. I further state that this submission includes no new matter.

Respectfully submitted,
GENENTECH, INC.

Date: September 19, 1997

By: *Ginger R. Dreger*
Ginger R. Dreger
Reg. No. 33,055

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